Household sustainable behavior evaluation and its relationship with socioeconomic indicators in the city of Guayaquil.

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Abstract—In order to deal with global warming and climate change through the smart management of natural resources and waste elimination for the conservation of the environment, this study aims to evaluate the behavior of household’s residents towards electricity and water consumption and solid waste generation (HSW). The paper also intends to correlate this behavior with socioeconomic indicators, such as: household size, level of education, income generation, labor force and social status. This study relied on 2593 random stratified questionnaires who live in the city of Guayaquil. The findings revealed that different socioeconomic groups houses demonstrate the same tendency in the sustainable consumption attitudes of electricity of water. Subsequently, the findings also ascertained differences are found in the possession of ecological devices. HSEG possesses more these kinds of devices than LSEG. Therefore, government strategies should be set in motion to make households more aware of the level of pollution they produce and the inefficacy of their consumption of resources.

I. INTRODUCTION

The growing demand of electricity and water in households to maintain a certain level of comfort is generating great pressure on its generation, and with that, the deterioration of the ecosystem. Therefore, \cite{1} the misuse of these resources in the home is a serious problem. To solve this problem, there are two courses of action available: a) increase the generation of electricity and water through clean technologies, which can be very costly in the short and medium term or b) decrease the demand for the service, in other words, support a change in the lifestyles and habits of the people that promotes a better care of consumption, meaning a sustainable consumption.

Also, the management of household solid waste (HSW) has become a serious problem for many countries, particularly for developing countries in which the demographic growth implies an increment in the solid waste generation \cite{2}. In addition, the deficient educational system and little community participation in the city results in a lack of cleanliness and visual contamination of the environment \cite{3}.

The Ecuadorian Constitution in its in Section II Article 14 states the rights of nature as follows, “The right of the population to live in a healthy and ecologically balanced environment, which guarantees sustainability and good health, is recognized \cite{4}.

Furthermore, “it is declared of public interest the preservation of the environment, the conservation of ecosystems, biodiversity and the integrity of the country’s genetic heritage, the prevention of environmental damage and the recovery of natural areas degraded”.

According to statistical data collected from the National Institute of Statistics and Censuses (INEC) in 2017; approximately 16.62 million people live in Ecuador, from which 15% belong to the city of Guayaquil. Based on the information provided by the Ministry of the Environment, it was determined that each inhabitant of Ecuador in the urban sector produces an average of 0.58 kg/inhabitant/day of solid waste, of which 53% are organic \cite{5}. Although, a recent study \cite{6} for the city of Guayaquil, shows that the average rate of household solid waste generation in the city of Guayaquil is 0.72 kg/capita/day and 18% of that is non-biodegradable.

Electrical Sustainability

\cite{7} found a relationship between attitude to energy consumption and household energy consumption, and that the sociological variables explained more than 60% of total variation in household energy consumption. Data analysis on electricity consumption for lighting and appliances suggest that, \cite{8} this is more dependent on user practices than on energy efficiency, especially if the number of appliances are counted as part of the user practice.

There is also a strong influence of certain socioeconomic factors in the electricity consumption. \cite{9} found a relation between electricity per capita and household size, level of education, household labour force, income and utility. Also, consumers’ adoption to the efficient usage of energy varies depending on demographic, behavioral and situational dynamics in their households and societies \cite{10}.

Water Sustainability

Water usage in our society cannot be called sustainable, since to much purified water is taken by the system and much polluted water is discharged in the local rivers and a major part of this problem is the household use of this resource. \cite{11} mentions the reuse or recycling of domestic water could lead to big reductions. Some studies \cite{12} show a relationship with socioeconomic demographics and water end use consumption levels. \cite{13} exposes that trust plays a role in household water
consumption, since people will not save water if they feel others are not minimizing their water use (inter-personal trust).

**Waste Generation Sustainability**

Despite some advances towards enhancing environmental protection, rapid urbanization and inequality in the developing world makes the collection of MSW even more difficult. To plan a MSW management strategy for a given city, it is essential to know the quantity of waste generated and its composition. The knowledge of how much and what solid waste is generated is acquired based on studies of classification of solid waste at the level of populated complexes or districts; establishing in those studies how much each inhabitant generates per day, the density of the waste, the estimated generation and the specific composition of solid waste.

Several studies have been conducted on correlating socioeconomic characteristics with HSW generation. Some researchers have established that income, household size and household labor force can change the consumption patterns of households, resulting in changed composition and quantities of household waste [13] [14]. Other authors [15] [16] found that the solids per capita generation decreases with decreasing social status and solid waste composition showed variations due to the change in social status. [17] indicated that there was no much difference in the composition of wastes among different socioeconomic groups except ash residue and plastic. Further, [18] found that residential solid waste generation such as food, paper, plastic and metal showed significant positive correlation with family size. [19] showed the relevance of considering social aspects, such as level of education in municipal solid waste management.

The objectives of this investigation were to determine the following:

1. To evaluate the behavior of household residents over sustainable consumption of electricity and water;
2. To examine the habits of consumption of goods and the expected amount of solid waste; and
3. To find the ways these behaviors ad habits change with the social status of the house.

Results from this study will provide inputs to the environmental management planners in their decision making towards effective and sustainable household management systems for Guayaquil city.

**II. THE STUDY AREA**

Guayaquil is the largest and most populated city in Ecuador with around 2.70 million people in the metropolitan area. As the nation’s principal commercial and manufacturing center, Guayaquil is located on the western bank of the Guayas River and is the capital of the Ecuadorian province of Guayas.

As the focus of Ecuador’s international trade and domestic commerce, it is economically the country’s most important city. There are sugar refineries, iron foundries, machine shops, tanneries, and sawmills, as well as fabricating and processing plants for a variety of light consumer goods. Industrial development has resulted in population growth greater than that of Quito (with which a distinct rivalry exists), and the large-scale immigration of rural workers has confronted the city with the problem of growing slum areas.

This city shows much variation with respect to socioeconomic conditions and it’s considered to be representative for the province of Guayas. According to the last census of population and housing [12] taken in 2010, there were almost 413 thousand households, located mostly in the southwest of the city (35%) and northeast (25%), followed by southeast (28%) and finally the northeast (12%).

**III. METHODOLOGY**

The measurement of sustainability behavior of each household consisted of the following steps:

**Calculation of number of samples**

Household consumption of resources, such as electricity and water, and generation of solid waste varies from place to place and from social status. To estimate with precision the habits, it was necessary to execute a statically designed sampling survey. Logically, the accuracy of the sampling would increase with the number of samples; nevertheless, this number had to be restricted due to the available resources.

The procedure used to determine the minimum number of samples needed to gather reasonably accurate data was based on the central limit theorem. The number of samples was determined with a 99% confidence interval and a 2.6% standard error, for a population of 413 thousand households, using the following equation:

\[
 n = \frac{k^2 \cdot p \cdot q \cdot N}{e^2 + (N-1) \cdot k^2 \cdot p \cdot q} \tag{1}
\]

where \( n \) is the minimum number of samples, \( k \) is a constant that depends on the level of confidence (for 99% confidence \( k \) is 2.575), \( e \) is the sampling error (2.6%), \( p \) is the proportion of inhabitants that possess the characteristic we seek, and \( q \) is the number of inhabitants that don’t possess it (For this case is 0.5 for each one).

After using this equation, the number of households needed to obtain a 2.6% standard error with 99% level of confidence is 2438.
Information collection procedure

For determination of the habits and its influence of social status, a general course project was elaborated for students of two local universities of the city. A total of 140 students participated between both universities, each of them with ten households including their own (9 neighbors + own home). They were given two questionnaires, one to measure the social status and the other to acquire information of socioeconomic factors and attitudes towards sustainability.

The duration of the project was two months, to give them enough time and to get precise information. Questionnaires were administered to their own neighbors and given there were plenty of students a significant and well distributed sample was acquired. The step by step procedure to register the data by the students was as follows:

1. Students were instructed in the project and given 20 questionnaires, one pair per household (10 of social status and 10 of household sustainability).

2. Students took the survey to each household and registered all the information in the sample sheet.

The questionnaire was administrated to 2,593 selected representative households to obtain information about their socio-economic factors such as household size (HS), level of income (LI), social status (SS), labor force (HL) and mid-education level (HE) and habits of consumption and waste generation.

Questions 1 to 10 were about electricity sustainable use as follows:

Q1: Do you disconnect electronic devices and appliances when you do not use them or leave the house?
Q2: Do you turn off the lights when leaving a room?
Q3: Do you introduce hot meals in the fridge?
Q4: Do you iron as many clothes as possible in one go?
Q5: Do you open curtains and blinds to take advantage of sunlight?
Q6: Do you have energy saving household appliances (refrigerator, washing machine, dryer)?
Q7: Do you have solar panels?
Q8: Do you use the microwave, toaster and vacuum as little as possible?
Q9: Do you maintain equipment, appliances and electrical installations?
Q10: Do you gather clothes to use the washing machine less often?

Questions 11 to 18 were about water sustainable use as follows:

Q11: Do you reuse water (To scrub, water, throw to the bathroom)?
Q12: Do you use a bucket instead of a hose for certain activities (washing a vehicle, watering garden plants, etc.)?
Q13: Do you close your faucets while soaping dishes, bathing, brushing your teeth, etc.?
Q14: Do you shower in less than 10 minutes?
Q15: Do you regularly check the water pipes?
Q16: Do you have jet economizers (water flow reducers)?
Q17: Do you have a double flush toilet?
Q18: Do you place a bottle or other object inside the toilet water tank?

To research the organic paper waste produced, the frequency of newspaper and catalogs were asked. To know the production of other wastes such as plastics, metals, cardboard and glass, it was asked the weekly frequency of acquirement of this type of products.

Also, the households in study area were stratified into five different socio-economic groups based on a stratification questionnaire about income, owned electronic devices, types of jobs and others. This questionnaire measures the socio-economic group on a scale from 0 to 1000.

- Low socio-economic group: threshold < 316 (LSEG)
- Middle low socio-economic group: threshold between 316,1 - 535 (MLSEG)
• Middle socio-economic group: threshold between 535.1 - 696 (MSEG)
• Upper middle socio-economic group: threshold between 696.1 - 845 (UMSEG)
• High socio-economic group: threshold between 845.1 - 1000 (HSEG)

IV. RESULTS

A total of 2,593 households from the city of Guayaquil were selected randomly for the study. The city was divided into 4 sectors and 18% came from the Southeast, 14% from the Northeast, 25% from Southwest and almost 44% from the Northwest as shown in Fig. 2.

Socio-economic characteristics of households

An essential preliminary step in household behavior towards sustainability is the accurate estimation of several socioeconomic parameters, because these, affect the consumption of resources such as electricity and water, also the generation of waste. These include education level, monthly income, number of members, social status, age composition and labor force. Fig. 3 shows the number of members per household, as it can been seen, it shows a normal distribution and has an average of 3.76 members per household.

Also, figure 4 presents the socio-economic demographic of the city of Guayaquil. Only 6.59% of the population belong to the high social class and 0.66% to the low social class. The remaining of households (93%) are divided between middle low, middle and upper middle social class. This figure demonstrates that most of the population is in the middle-class groups. Fig. 5, delivers instead the stratification of social status among all the 4 zones of the study. Most of the MSEG households (19.5%) are located in the northwest, whilst 0.27% of LSEG households live in southwest of the city.

One surprise factor for this research can be seen in figure 6, where the average number of household members increase with the socioeconomic status. An average of 3.98 members habit in HSEG houses whilst 2.47 members live in LSEG, totally unexpected since it’s believed that the less rich tend to have more children. The figure shows also that MLSEG, MSEG and UMSEG have 3.40, 3.77 and 3.90 average members respectively. Given this research only applies to households and not to homeless people, this figure could change if they were included.
Table 1 shows the average gender distribution along all the socioeconomic groups. In total, there are almost the same quantity of men and women per household. There is a much significant difference for LSEG and MLSEG households. LSEG present more male than female (1.41 vs 1.06) and MLSEG instead, show more women than men (1.86 vs 1.54).

**Table I**

<table>
<thead>
<tr>
<th>Gender</th>
<th>SOCIO-ECONOMIC GROUP</th>
<th>LSEG</th>
<th>MLSEG</th>
<th>MSEG</th>
<th>UMSEG</th>
<th>HSEG</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Male</td>
<td>1.41</td>
<td>1.54</td>
<td>1.82</td>
<td>1.93</td>
<td>1.96</td>
<td>1.92</td>
<td>1.82</td>
</tr>
<tr>
<td>Female</td>
<td>1.06</td>
<td>1.86</td>
<td>1.96</td>
<td>1.97</td>
<td>2.02</td>
<td>1.95</td>
<td>1.90</td>
</tr>
<tr>
<td>Total</td>
<td>2.47</td>
<td>3.40</td>
<td>3.77</td>
<td>3.90</td>
<td>3.98</td>
<td>3.82</td>
<td>3.77</td>
</tr>
</tbody>
</table>

In Table 2 we can see the average education level distribution among socioeconomic groups, where most households have members who have graduated or are currently studying high school. An important difference between social classes can be seen in the number of members with 4th degree education level, HSEG show that 0.33 members have this degree whilst LSEG show a value of zero. But, having more educated people does not necessarily mean that these households will behave more sustainable.

**Table II**

<table>
<thead>
<tr>
<th>HSEG</th>
<th>UMSEG</th>
<th>MSEG</th>
<th>MLSEG</th>
<th>LSEG</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Primar y School</td>
<td>0.36</td>
<td>0.57</td>
<td>0.82</td>
<td>1.12</td>
<td>1.24</td>
</tr>
<tr>
<td>High School</td>
<td>1.32</td>
<td>1.57</td>
<td>1.90</td>
<td>1.69</td>
<td>1.00</td>
</tr>
<tr>
<td>Technology</td>
<td>0.23</td>
<td>0.26</td>
<td>0.22</td>
<td>0.12</td>
<td>0.12</td>
</tr>
<tr>
<td>Bachelor or Master /PhD</td>
<td>1.63</td>
<td>1.30</td>
<td>0.65</td>
<td>0.28</td>
<td>0.06</td>
</tr>
<tr>
<td>Neither</td>
<td>0.12</td>
<td>0.13</td>
<td>0.16</td>
<td>0.19</td>
<td>0.06</td>
</tr>
<tr>
<td>Total</td>
<td>3.98</td>
<td>3.90</td>
<td>3.77</td>
<td>3.40</td>
<td>2.47</td>
</tr>
</tbody>
</table>

In Fig. 8 we can observe the salary range by socioeconomic groups. The figure lets notice that most houses gain between 391,00 and 750,00 USD. HSEG houses show that salaries are between 2250,00 and 5000,00 USD and LSEG appreciate a salary of less than 390,00 USD.
Household sustainability use of electricity

From figures 9 to 13, we can see the pattern of sustainable behavior attitudes that affect the electricity consumption of a household. Although responses don’t really vary from group to group, there is still a lack of environmental consciousness, since in theory HSEG have the highest level of education and give practically the same tendency than the LSEG. The biggest difference can be seen in question 6, in the ownership of energy saving devices. Logically, HSEG have higher capacity to acquire better technology, but they tend to also spend more energy because they can afford it.
In total, from the 2593 households, 80% affirm to disconnect their electronic devices when they’re not using them or leaving the room. 92% say they turn off the lights after leaving a room. 87% claim to not introduce hot meals in the fridge. 63% iron as many clothes as possible at once and have energy saving devices; also 86% open the curtains to take advantage of sunlight and only 5% possess solar panels.

**Household sustainability use of water**

From figures 14 to 18, we can see the pattern of sustainable behavior attitudes that affect the water consumption of a household. We can see the same tendency from questions 11 to 18 in the HSEG, UMSEG and MSEG households. The MLSEG and LSEG show different behavior especially in questions 13 and 17. For question about the ownership of a double flush toilet, only 16% of MLSEG say they have them against 36% for the rest of the upper classes, and none of the LSEG houses have one. Also, for question about whether they close the water faucets or not, all LSEG households answered they do.

In total, from all surveyed households, 38% affirm to reuse the waste water for other activities such as floor cleaning. 55% use a bucket instead of a hose to water their garden or washing their vehicles. Almost 57% take less than 10 minutes showers and only 37% check their water pipes regularly. This is important, given that water in the city of Guayaquil has some silica that adheres to the wall pipes and reduce their diameter. Also 20% of all houses have water jet economizers, logically being the most in the HSEG households.
Figure 19 presents the household waste separation. Only the LSEG all answered that they did in fact separate all their waste. The other social groups have pretty much the same tendency for this question. The reasons why some households don’t recycle are shown in figure 20. The principal reason is that there are no specific containers for each type of waste, followed by that some of them are not interested or don’t know how to classify.

**Household waste generation sustainability**

Figure 21 presents the type of meals that household acquire, 59% buy fresh food, whilst 37% by both fresh and canned, leaving only 4% that get precooked and canned food. This indicator shows variations among socioeconomic groups, increasing the indicator of precooked meals for HSEG and the fresh food for LSEG houses. This is important, because most precooked food comes in plastic or metal containers producing non-biodegradable waste which is more harmful for the environment.
Table 3 and figure 22 present the predisposition of each household to produce organic paper waste. In the table we can see a normal distribution with the amount of physical newspaper received at home. This is perhaps because of the easy access for HSEG households to online news. In the figure it’s shown the frequency of catalogs, magazines and advertisements that arrive each week. This type of organic waste is more harmful because of the type of paper and ink used.

### Table III

<table>
<thead>
<tr>
<th>Frequency</th>
<th>Socio-Economic Group</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>LSEG</td>
</tr>
<tr>
<td>One Daily only</td>
<td>1</td>
</tr>
<tr>
<td>Only the Sunday Newspaper</td>
<td>0</td>
</tr>
<tr>
<td>None</td>
<td>16</td>
</tr>
</tbody>
</table>

Figure 22 Frequency of catalogs, magazines and advertising by socioeconomic group.

Figure 23 presents the household weekly non-biodegradable waste generation for plastic, metal and cardboard. Almost 60% of homes acquire many glass bottles, jars and containers every week whilst 20% of them get brick containers. Between 40% and 55% buy food and beverages in plastic bags, pots, jars and tubs, and 50% get many metal beverage and food containers.

Figure 24 Household weekly non-biodegradable waste generation comparison among high and low socioeconomic groups.

Figure 24 compares the habits of generation of waste between HSEG and LSEG households. As expected, the tendency shows that high income households produce more this type of wastes than low income ones. Low income houses buy more fresh food in the local markets instead.
V. CONCLUSIONS

This study aimed to analyze the current household behavior towards sustainable resource consumption and waste generation, and its relationship with various socioeconomic characteristics of the city, such as social status.

The number of members per household increase with social status from 2.47 to 3.98. Most houses have a minimum of high school level, showing that 4th degree members locate at the higher social groups. The income level of most homes is between $391,00 and $750,00 and the age range is from 26 to 50 years old.

The results suggested that different socioeconomic groups houses demonstrate the same tendency in the sustainable consumption attitudes of electricity of water. Only significant differences are found in the possession of ecological devices. HSEG possess more these kinds of devices than LSEG.

Moreover, the solid waste composition exhibits different characteristics for different socioeconomic statuses. That means that the peoples’ socioeconomic status (usually measured by a combination of education, income and occupation) is a determining factor for solid waste generation rates and composition.

Finally, it’s cleared in this research that people that live in the city don’t really care for the environment [15]. There is a lack of environmental consciousness and even the level of income and education don’t affect this awareness. This may be an effect on the low tariffs of electricity and water and also that there really isn’t bad advertisement for open dumps and landfills since the communication powers ally with the local municipality.

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REFERENCES


